

## Meeting of the Audit Committee

4.00 pm on Tuesday, 5 February 2019  
in 1B16 - Technopark, SE1 6LN

*\*Pre meeting with the auditors at 3.30pm in 1B16, Technopark*

### Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meeting	3 - 8	DB
4.	Matters arising	9 - 10	DB
	<b>Items to discuss</b>		
	<b>Internal audit</b>		
5.	Internal audit progress report	11 - 30	JM
6.	SBA internal audit report	31 - 78	JM
	<b>External audit</b>		
7.	External audit progress report	79 - 86	FN
	<b>Risk and control</b>		
8.	Corporate Risk	87 - 98	RF
9.	Brexit risk register	99 - 106	RF
	<b>Items to note</b>		
	<b>Other matters</b>		
10.	Data assurance report	107 - 112	RF
11.	Financial Regulations	113 - 160	RF
12.	TRAC return to the OfS (to ratify)	<i>To follow</i>	RF
13.	SBA external audit report	161 - 206	RF
14.	FMI Structure and leadership team	207 - 214	RF
15.	GDPR compliance update	215 - 218	JS
16.	Anti-fraud, bribery and corruption report	219 - 220	RF

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
17.	Speak up report	221 - 222	JS
18.	Audit committee business plan	223 - 228	JS
19.	Any other business		
20.	Appointment of Internal Auditors (to recommend to the Board)	<i>To follow</i>	DB
	<ul style="list-style-type: none"> <li><i>In the absence of the internal auditors and the external auditors</i></li> </ul>		
21.	Matters to report to the Board following the meeting		SEC

**Date of next meeting**  
**4.00 pm on Thursday, 13 June 2019**

**Members:** Duncan Brown (Chair), Steve Balmont, Shachi Blakemore, Mee Ling Ng and Rob Orr

**In attendance:** David Phoenix, Natalie Ferer, Richard Flatman, James Stevenson, Justin Martin, Lucy Gresswell, Amy Chiu, Fleur Nieboer, Jack Stapleton and Askari Jafri