

## Meeting of the Group Audit and Risk Committee

3.30 - 6.30 pm on Thursday, 11 November 2021  
in 1B16 - Technopark, SE1 6LN

### Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meeting	5 - 10	DB
4.	Matters arising	11 - 14	DB
	• UKVI update		NL
	<b>External audit</b>		
5.	External audit findings	To Follow	KPMG
6.	External audit letter of representation	15 - 24	KPMG
7.	External audit performance against KPIs	25 - 28	RF
	<b>Internal audit</b>		
8.	Internal audit progress report	29 - 40	BDO
9.	Internal audit follow-up report	41 - 54	BDO
10.	Internal audit reports	55 - 56	
	• OfS regulatory framework		JS/DJ
	• Group KPIs	To Follow	DJ
11.	Internal audit annual report (final)	57 - 74	BDO
	<b>Year end matters</b>		
12.	Draft annual report and accounts 2020/21	75 - 148	RF
	• Public benefit statement		JS
	• Corporate governance statement		JS
13.	Going concern statement	To Follow	RF
	• Evidence of going concern from FPR	To Follow	MC
14.	Group Audit and Risk Committee annual report	149 - 158	JS
15.	Modern Slavery Act statement	159 - 164	RF
16.	Prevent annual return	165 - 170	DP

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
<b>Risk and control</b>			
17.	Internal controls annual review of effectiveness	To Follow	RF
18.	Data assurance report	171 - 172	DJ
19.	Group corporate risk register	173 - 184	RF
<b>Matters to note</b>			
20.	Update on fire door works	185 - 192	PI
21.	Anti-fraud, bribery and corruption report	To Follow	RF
22.	Speak up report	193 - 194	JS
23.	OfS Conditions of Registration compliance report, 2020/21 <ul style="list-style-type: none"> <li>• Reportable events update</li> </ul>	195 - 204	JS
24.	Data protection report	205 - 206	JS
25.	Committee business plan	207 - 210	KJ
26.	Matters to report to the Board following the meeting		KJ

**Date of next meeting  
4.00 pm on Thursday, 10 February 2022**

<b>Members:</b>	Duncan Brown (Chair), John Cole, Mark Lemmon and Rob Orr
<b>In attendance:</b>	David Phoenix, Natalie Ferer, Richard Flatman, Kerry Johnson, Nicole Louis and James Stevenson
<b>External auditors:</b>	Jessie Spencer and Fleur Nieboer (KPMG)
<b>Internal auditors:</b>	Nicola Walker and Gemma Wright (BDO)
<b>Observer:</b>	Michael Cutbill

**Supplement 1: subsidiary internal audit reports**

- SBC income
- SBC student experience
- SBC finance system recovery
- SBC staff absence management

**Supplement 2: LSBU full internal audit reports**

- OfS regulatory framework
- Key Performance Indicators