

## Meeting of the Group Audit and Risk Committee

4.00 - 6.00 pm on Tuesday, 15 June 2021  
via MS Teams

### Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meeting	3 - 12	DB
4.	Matters arising <ul style="list-style-type: none"> <li>• IT recovery update</li> <li>• UKVI update</li> </ul>	13 - 14 To follow	DB
<b>Chair's business</b>			
5.	Committee effectiveness review: draft report	15 - 26	DB, PF
<b>External audit</b>			
6.	External audit plan 2021/22	27 - 74	KPMG
7.	Update on year-end processes	75 - 84	RF
<b>Internal audit</b>			
8.	Internal audit progress report	85 - 94	BDO
9.	Draft internal audit strategy and audit plan 2021-24	95 - 134	BDO
<b>Risk and control</b>			
10.	Corporate risk <ul style="list-style-type: none"> <li>• Risk strategy and appetites</li> <li>• Corporate risk report</li> </ul>	135 - 142	RF
<b>Other matters</b>			
11.	Anti-fraud, bribery and corruption policies review and report	143 - 154	RF
12.	OfS report - consumer protection, communication and regulation	155 - 162	DJ

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
<b>Matters to note</b>			
13.	Fire door works update	To Follow	JS
14.	Data protection report	163 - 164	JS
15.	Speak up report	165 - 166	JS
16.	Reportable events update	167 - 168	JS
17.	Committee business plan	169 - 172	KJ
18.	Matters to report to the Board following the meeting	Verbal Report	KJ

**Date of next meeting  
4.00 pm on Tuesday, 5 October 2021**

**Members:** Duncan Brown (Chair), John Cole, Mark Lemmon and Rob Orr

**Apologies:** David Phoenix

**With:** Peter Fidler, SIG (item 5 only)

**In attendance:** Natalie Ferer, Richard Flatman, Kerry Johnson, Deborah Johnston, Nicole Louis and James Stevenson

**Internal auditors:** Ruth Ireland and Gemma Wright

**External auditors:** Fleur Nieboer and Jessie Spencer

**Supplement: subsidiaries update**

- SBA internal audit report: Budget setting
- SBC internal audit report: Prevent
- SBC Audit Committee minutes: 10 February 2021
- SBA Audit Committee minutes: 9 March 2021