

## Meeting of the Group Audit and Risk Committee

4.00 pm on Tuesday, 6 October 2020  
via MS Teams

### Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meetings <ul style="list-style-type: none"> <li>• 18 June 2020</li> <li>• 7 September 2020</li> </ul>	3 - 14	DB
4.	Matters arising <ul style="list-style-type: none"> <li>• Coronavirus business recovery update</li> <li>• Cyber security update</li> </ul>	15 - 42	DB
<b>Chair's business</b>			
5.	CUC higher education audit committees code of practice	43 - 64	DB
<b>External audit</b>			
6.	External audit: review of non-audit services	To Follow	RF
7.	External audit progress update	To Follow	JS
<b>Internal audit</b>			
8.	Internal audit progress report	65 - 74	BDO
9.	Internal audit follow-up report	75 - 110	BDO
10.	Internal audit: UKVI Tier 4	111 - 138	NL
11.	Internal audit: apprenticeships	To Follow	FM
12.	Internal audit: estates capital programme	139 - 140	PI
13.	Internal audit: student data	141 - 142	RF
14.	Internal audit: family transition	143 - 144	DP
15.	Draft internal audit annual report 2019/20	145 - 168	BDO

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<b>Risk and control</b>			
16.	Risk strategy and appetite	169 - 184	RF
17.	Corporate risk report	185 - 188	RF
<b>Year end matters</b>			
18.	Internal controls annual review and effectiveness	To Follow	RF
19.	Pension assumptions	189 - 192	RF
20.	Draft public benefit statement	193 - 196	JS
21.	Draft corporate governance statement	197 - 208	JS
<b>Matters to note</b>			
22.	Anti-fraud, bribery and corruption report	209 - 210	RF
23.	Speak up report	211 - 212	JS
24.	Reportable events update	213 - 214	JS
25.	Data protection report	215 - 218	JS
26.	GARC terms of reference and membership	219 - 226	JS
27.	Committee business plan	227 - 230	KJ
28.	Matters to report to the Board following the meeting		KJ

**Date of next meeting  
4.00 pm on Thursday, 5 November 2020**

**Members:** Duncan Brown (Chair), John Cole, Mark Lemmon and Rob Orr

**Apologies:** Ruth Ireland

**In attendance:** David Phoenix, Natalie Ferer, Richard Flatman, Kerry Johnson, Nicole Louis and James Stevenson

**On standby:** Alison Chojna (item 4), Paul Ivey (item 11), Ed Spacey (item 4), Graeme Wolfe (item 4)

**Internal Auditors:** James Aston, Gemma Wright (BDO)

**External Auditors:** Fleur Nieboer, Jessica Spencer (KPMG)

**Supplement one: full reports**

- Internal audit: estates capital programme
- Internal audit: family transition

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- Internal audit: student data
- Internal audit: apprenticeships (to follow)

**Supplement two: subsidiaries update**

- Minutes of SBC Audit Committee 4 June 2020
- Minutes of SBA Audit Committee 2 July 2020