

## **Meeting of the Audit Committee**

**11am\* on Wednesday, 20 June 2012**  
**in 1B33, Technopark, London Road, London SE1**

\*Pre meeting between committee members and the Internal Auditors only will take place at 10.45am in 1B33

### **Agenda**

	<i>Paper No.</i>	<i>Presenter</i>
1. Welcome and apologies <ul style="list-style-type: none"><li>• To welcome Douglas Denham St Pinnock</li></ul>		Chair
2. Declarations of Interest		Chair
3. Minutes of the last meeting (for publication)	AC.18(12)	Chair
4. <b>Matters arising</b>		
4.1 Update on External Returns action plan (for discussion)	AC.19(12)	PVC(A)
5. <b>External Auditors</b>		
5.1 External Audit plan, 2011/12 (to approve)	AC.20(12)	GT
6. <b>Internal Auditors</b>		
6.1 Progress report, 2011/12 (to consider)	AC.21(12)	PwC
6.2 Quarter 2 Continuous Auditing Reports (to consider)	AC.22(12)	PwC
6.3 Risk Management (for monitoring)	AC.23(12)	PwC
6.4 Delegated Authority Arrangements (for monitoring)	AC.24(12)	PwC
6.5 Bribery Act 2010 (for monitoring)	AC.25(12)	PwC
6.6 Management of Representative Partners for International Students (for monitoring)	AC.26(12)	PwC
6.7 Internal Audit KPI's (for monitoring)	AC.27(12)	PwC
6.8 Internal Audit plan, 2012/13 (to review)	AC.28(12)	PwC
7. <b>Financial Regulations</b>		
7.1 Review of Financial Regulations (to recommend)	AC.29(12)	EDF

8.	<b>Risk and Control</b>		
8.1	Quarterly Risk Report (to consider)	AC.30(12)	EDF
9.	<b>Other Matters</b>		
9.1	Control and Governance of SBUEL (to consider)	AC.31(12)	Sec
9.2	Students' Union Constitution – control and governance (to consider) <i>paper to follow</i>	AC.32(12)*	Sec / PVC(A)
9.3	“Speak up” report (to consider)	AC.33(12)	Sec
9.4	Anti-fraud policy (to review)	AC.34(12)	EDF
9.5	Anti-fraud, bribery and corruption report (to consider)	AC.35(12)	EDF
9.6	TRAC(T) Return (to approve)	AC.36(12)	EDF
10.	Matters to report to the Board following this meeting		Chair
11.	Any other business		Chair
12.	Date of next meeting: 27 September 2012		Chair

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Members: Andrew Owen (Chair), Steve Balmont, Douglas Denham St Pinnock

External Auditors: David Barnes (Grant Thornton)

Internal Auditors: Justin Martin and David Wildey (PwC).

With: Vice Chancellor, Executive Director of Finance, Pro Vice Chancellor (Academic), Pro Vice Chancellor (External) (for items 6.6 and 9.1), University Secretary, Director of Enterprise (for item 9.1) and Governance Officer.