

Meeting of the Group Audit and Risk Committee

4.00* - 6.00 pm on Thursday, 7 November 2019
in 1B16 - Technopark, SE1 6LN

* 3.30 – 4.00pm pre-meeting with the internal and external auditors only in 1B16,
Technopark

Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meeting	3 - 8	DB
4.	Matters arising	9 - 10	DB
Financial reporting for the year 2018/19			
5.	External audit findings	11 - 46	RF
6.	Draft annual report and accounts, 2018/19	47 - 112	RF
7.	Going concern statement	113 - 118	RF
8.	External audit letter of representation	119 - 138	RF
9.	Draft Public Benefit statement	139 - 144	JS
10.	Draft corporate governance statement	145 - 156	JS
11.	Internal controls annual review and effectiveness	157 - 178	RF
12.	Draft Audit Committee annual report	179 - 188	JS
External audit			
13.	External audit performance against KPIs	189 - 192	RF
14.	External audit - review of non-audit services	193 - 194	RF
Internal audit			
15.	Internal audit report - CMA compliance	To Follow	NL
16.	Internal audit report - London South Bank Innovation Centre	195 - 216	PI
17.	GDPR compliance update	217 - 218	JS
18.	Final internal audit annual report	To Follow	JM

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
19.	Internal audit progress report	219 - 226	RI
20.	Internal audit report - key financial controls	227 - 258	RI
Risk and control			
21.	Corporate risk	259 - 270	RF
Other matters			
22.	Prevent annual return	271 - 276	SW
23.	Quality Assurance report	To Follow	PB
24.	Anti fraud bribery and corruption report	277 - 278	RF
25.	UKVI compliance	279 - 282	PI
26.	Modern Slavery Act statement	283 - 288	RF
27.	Speak up report	289 - 290	JS
28.	OfS reportable events	291 - 294	JS
29.	Cyber security update	295 - 302	NL
30.	Audit Committee business plan	303 - 306	JS
31.	Matters to report to the Board following the meeting		JS

**Date of next meeting
4.00 pm on Thursday, 13 February 2020**

Members: Duncan Brown (Chair), John Cole, Rob Orr and Mark Lemmon

In attendance: Michael Broadway, David Phoenix, Natalie Ferer, Richard Flatman and James Stevenson

External auditors: Fleur Nieboer and Jack Stapleton (KPMG)

Internal auditors: Ruth Ireland and Gemma Wright (BDO)