

Meeting of the Group Audit and Risk Committee

3.30 pm on Thursday, 5 November 2020
via MS Teams

*3:00pm pre-meeting with the auditors via MS Teams

Agenda

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
1.	Welcome and apologies		DB
2.	Declarations of interest		DB
3.	Minutes of the previous meeting	3 - 10	DB
4.	Matters arising	11 - 26	DB
	• Student advice and behaviours update	Verbal report	NL
	• TRAC and TRAC(T) process review update	Verbal report	RF
	• UKVI Tier 4 update	See paper	NL
	• Cyber security update	See paper	NL
Internal audit			
5.	Internal audit progress report	27 - 34	BDO
6.	Internal audit: apprenticeships	35 - 36	FM
7.	Internal audit: risk management maturity	37 - 66	RF
8.	Internal audit annual report (final)	67 - 84	BDO
External audit			
9.	External Audit Findings	To Follow	KPMG
10.	External Audit Letter of Rep	To Follow	KPMG
11.	External audit performance against KPIs	85 - 88	RF
Year end matters			
12.	Draft Annual Report and Accounts 2019/20	89 - 154	RF
13.	Going concern statement	155 - 160	RF
14.	Public benefit statement	161 - 162	JS
15.	Corporate governance statement	163 - 164	JS
16.	Group Audit and Risk Committee Annual	165 - 174	JS

<i>No.</i>	<i>Item</i>	<i>Pages</i>	<i>Presenter</i>
	Report		
17.	Modern Slavery Act Statement	175 - 180	RF
18.	Prevent Annual Return	181 - 184	FM
19.	Pension assumptions	185 - 188	RF
	Risk and control		
20.	Internal controls annual review of effectiveness	189 - 202	RF
21.	Data assurance report	203 - 204	RF
22.	Financial regulations	205 - 208	RF
	Matters to note		
23.	Data protection report	209 - 210	JS
24.	Anti-fraud, bribery and corruption report	211 - 212	RF
25.	Speak up report	213 - 214	JS
26.	Reportable events update	215 - 216	JS
27.	Committee business plan	217 - 220	JS
28.	Matters to report to the Board following the meeting		JS

**Date of next meeting
4.00 pm on Thursday, 11 February 2021**

Members: Duncan Brown (Chair), John Cole, Mark Lemmon and Rob Orr

In attendance: David Phoenix, Natalie Ferer, Richard Flatman, Kerry Johnson, Nicole Louis, Marcelle Moncrieffe-Johnson, Fiona Morey (items 6 and 18 only) and James Stevenson

On stand-by: Alison Chojna, Graeme Wolfe (item 4 only)

Internal auditors: Ruth Ireland, Gemma Wright (BDO)

External auditors: Fleur Nieboer, Jessie Spencer (KPMG)

Observer: Michael Cutbill

Supplement one – full internal audit reports:

- Apprenticeships
- SBC data quality
- SBC health and safety

Supplement two – full financial regulations